



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Ammar Rayes, et al.)	Confirmation No.: 9185
)	
Serial No.: 10/688,051)	Examiner: NYA
)	
Filed on: October 16, 2003)	Group Art Unit No.: 2131
)	

For: POLICY-BASED NETWORK SECURITY MANAGEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

Enclosed is a copy of Information Disclosure Citation Form PTO-1449 together with copies of the documents cited on that form, if needed. It is respectfully requested that the cited documents be considered and that the enclosed Information Disclosure Citation Form PTO-1449 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s).

Pursuant to 37 C.F.R. § 1.97, the submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made and is not to be construed as an admission that the information cited in this statement is material to patentability.

Pursuant to 37 C.F.R. § 1.97, this Information Disclosure Statement is being submitted under one of the following (as indicated by an "X" to the left of the appropriate paragraph):

- X 37 C.F.R. §1.97(b).
- 37 C.F.R. §1.97(c). If so, then this Information Disclosure Statement includes one of the following:
- A statement pursuant to 37 C.F.R. §1.97(e)

 1.97(e)(1) The undersigned hereby states that each item of information contained in this information disclosure statement was first

cited in communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this information disclosure statement.

_____ 1.97(e)(2) The undersigned hereby states that no item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in this information disclosure statement was known to any individual designated in §1.56(c) more than three months prior to the filing of this information disclosure statement.

_____ A check for \$180.00 for the fee under 37 C.F.R. § 1.17(p).

_____ 37 C.F.R. §1.97(d). If so, then this Information Disclosure Statement includes the following:

_____ A statement pursuant to 37 C.F.R. §1.97(e)

_____ 1.97(e)(1) The undersigned hereby states that each item of information contained in this information disclosure statement was first cited in communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this information disclosure statement; OR

_____ 1.97(e)(2) The undersigned hereby states that no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in §1.56(c) more than three months prior to the filing of this information disclosure statement.

AND

_____ A check for \$180.00 for the fee under 37 C.F.R. §1.17(i) for submission of the Information Disclosure Statement.

_____ 37 C.F.R. §1.97(i). Wherein applicants are submitting references before the grant of a patent to be placed in the file but not considered by the Patent office.

- (1) Accordingly, copies of the references as listed on the attached Form PTO 1449 are submitted herewith for placement in the file. No certification or fees are deemed necessary.

Throughout the pendency of this application, please charge any additional fees, including any required extension of time fees, and credit all overpayments to deposit account 50-1302. A duplicate of this sheet is enclosed.

Respectfully submitted,

HICKMAN PALERMO TRUONG & BECKER LLP

Dated: March 12, 2004



David Lewis
Reg. No. 33,101

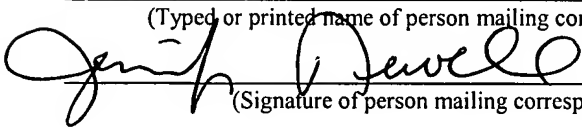
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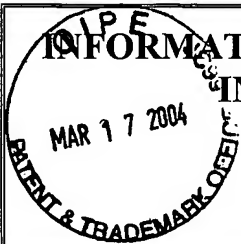
March 15, 2004
(Date of Deposit)

Jennifer Newell

(Typed or printed name of person mailing correspondence)



(Signature of person mailing correspondence)

	ATTY. DOCKET NO. 50325-0800		SERIAL NO. 10/688,051
	APPLICANT: Ammar Rayes, et al.		
	FILING DATE: October 16, 2003		GROUP: 2131

U.S. PATENT DOCUMENTS						
Exam. Initial*	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code ² (If known)			
		5,787,161		Rayes, et al.	07-28-1998	
		5,933,645		Wallack	08-03-1999	
		6,038,322		Harkins	03-14-2000	
		6,049,834		Khabardar, et al.	04-11-2000	
		6,119,231		Foss, et al.	09-12-2000	
		6,131,163		Wiegel	10-10-2000	
		6,134,559		Brumme, et al.	10-17-2000	
		6,215,878	B1	Harkins	04-10-2001	
		6,282,546	B1	Gleichenauf, et al.	08-28-2001	
		6,298,383	B1	Gutman, et al.	10-02-2001	
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		Office ³	Number ⁴	Kind Code ⁵ (If known)				

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¹Unique citation designation number. ²See attached Kinds of U.S. Patent Documents. ³Enter Office that issued the document, by the two-letter code (WIPO Standard S.3). ⁴For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

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INFORMATION DISCLOSURE CITATION IN AN APPLICATION (PTO-1449)				ATTY. DOCKET NO. 50325-0800		SERIAL NO. 10/688,051	
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		Number	Kind Code ² (If known)			
		6,324,656	B1	Gleichauf, et al.	11-27-2001	
		6,327,618	B1	Ahlstrom, et al.	12-04-2001	
		2001/0051865	A1	Kerr, et al.	12-13-2001	
		6,347,339	B1	Morris, et al.	02-12-2002	
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		Number	Kind Code ² (If known)			
		6,477,651	B1	Teal	11-05-2002	
		6,483,921	B1	Harkins	11-19-2002	
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		Number	Kind Code ² (If known)			
		6,567,917	B1	Ziese	05-20-2003	
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		Number	Kind Code ² (If known)			
		6,651,096	B1	Gai, et al.	11-18-2003	
		2003/0217145	A1	Leung, et al.	11-20-2003	
		2003/0217180	A1	Chandra, et al.	11-20-2003	
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		2004/0008682	A1	Miriyala	01-15-2004	
		6,680,998	B1	Bell, et al.	01-20-2004	
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Substitute for Form 1449A/PTO (Modified) (use as many sheets as necessary)	Attorney Docket No.: 50325-0800	Application Number: 10/688,051
	First Named Inventor: Ammar Rayes	
	Filing Date: October 16, 2003	

OTHER ART – NO PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	Translation ²
		Cisco Systems, Inc., "Release Notes for Cisco Content Routing Software, Release 1.1," 1992-2002, http://www.cisco.com/univercd/cc/td/doc/product/webscale/cr/cr4430/cr_11rn.htm , data retrieved 2/11/04, pp.1-19.	
		Cisco Systems, Inc., "IP Source Tracker," 2003, http://www.cisco.com/univercd/cc/td/doc/product/software/ios120/120newft/120limit/120s/120s21/ipst.htm , data retrieved 2/11/04, pp. 1-15.	
		Cisco Systems, Inc., "Configuring Denial of Service Protection," 1992-2003, http://www.cisco.com/univercd/cc/td/doc/product/core/cis/7600/software/121e/swcg/dos.htm , data retrieved 2/11/04, pp. 1-7.	
		Cisco Systems, Inc., "Cisco IOS Software Release 12.3(4)T: New Security Features and Hardware," 1992-2003, http://www.cisco.com/warp/public/cc/pd/iosw/prodlit/2358_pp.htm , data retrieved 2/11/04, pp. 1-11.	
		Cisco Systems, Inc., "Characterizing and Tracing Packet Floods Using Cisco Routers," 1992-2003, http://www.cisco.com/warp/public/707/22.html , data retrieved 2/11/04, pp. 1-9.	
		Cisco Systems, Inc., "Release Notes for Cisco Cache Engine 500 Series, Software Version 2.1.0," 1992-2002, http://www.cisco.com/univercd/cc/td/doc/product/webscale/webcache/ce21/rn_ce21.htm , data retrieved 2/11/04, pp. 1-11.	
		Cisco Systems, Inc., "SAFE Nimda Attack Mitigation," 1992-2003, http://www.cisco.com/warp/public/cc/so/cuso/epso/sqfr/snam_wp.htm , data retrieved 2/11/04, pp. 1-6.	
		Cisco Systems, Inc., "Cisco IOS Software Release 11.3 New Features," 1992-2002, http://www.cisco.com/warp/public/cc/pd/iosw/iore/iore113/prodlit/706_pp.htm , data retrieved 2/11/04, pp. 1-45.	
		Cisco Systems, Inc., "Monitoring the System," Chapter 2, Cisco ICS 7750 Administration and Troubleshooting Guide, 1992-2002, http://www.cisco.com/univercd/cc/td/doc/product/voice/ics7750/tblshoot/monitor.htm , data retrieved 2/11/04, pp. 2-1 – 2-28.	

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	First Named Inventor: Ammar Rayes	
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		Cisco Systems, Inc., "Monitoring," Chapter 13, VPN 3002 Hardware Client User Guide, 1989-2000, http://www.cisco.com/univercd/cc/td/doc/product/vpn/vpn3002/3_0/user_gd/monitor.htm , data retrieved 2/11/04, pp. 13-1 – 13-47.	
		Cisco Systems, Inc., "Security Reference Information," 1992-2003, http://www.cisco.com/warp/public/707/ref.html , data retrieved 2/11/04, pp. 1-3.	
		Cisco Systems, Inc., "Cisco Security Advisory: TCP Loopback DoS Attack (land.c) and Cisco Devices," 1992-2003, http://www.cisco.com/warp/public/770/land-pub.shtml , data retrieved 2/11/04, pp. 1-9.	
		Cisco Systems, Inc., "Network Security Policy: Best Practices White Paper," 1992-2003, http://www.cisco.com/warp/public/126/secpol.html , data retrieved 2/11/04, pp. 1-7.	
		Cisco Systems, Inc., "Protecting Your Core: Infrastructure Protection Access Control Lists," 1992-2003, 10 pages.	
		Cisco Systems, Inc., "Configuring Denial of Service Protection," Chapter 24, Catalyst 6500 Series Switch Cisco IOS Software Configuration Guide – Release 12.1E Guide, pp. 24-1 – 24-8.	

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